

Request Form for Pursuing Dual Employment as an Executive
(Executive of Company Developing Applications of Research)

<p>President of Tohoku University</p> <p>I would like to ask for your consent to the request of the employee below to pursue dual employment.</p> <p>Corporate Name: □□□ Co., Ltd Stamp Representatives name: Ichiro ○○ Stamp</p>	<p>Document Number: ○○/○○/20○○</p> <p>Address of the company where the applicant will be dually employed (starting with the post code): 1-1-1 △△, ○○-ku, ○○-shi, ○○prefecture ○○—○○○</p> <p>Affiliated department, supervisor, contact telephone number: ○○Section Jiro △△ ○○—○○○</p>
<p>Website address: http://www.tohoku.ac.jp/japanese/index.html</p> <p>Content of Business Developing applications of research results and related business (Development, manufacture and sale of ○○○○ equipment, Development, manufacture and sale of □□ equipment, protection of intellectual property, transport and sales, technology consulting in the area of □□□, and other related business activities.</p>	<p>Address of work location (starting with the post code):</p> <p>Frequency of Work: <input type="checkbox"/> Every ___ day _____:____-____:____ <input checked="" type="checkbox"/> <u>6</u> times per year / month / week / other period <input checked="" type="checkbox"/> Other (_____) 1 time = <u>5</u> hours</p>
<p>Parent Company: <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes Name of Company: Location Outline of the Contents of the Company's Business</p>	<p>Period of Dual Employment : <input type="checkbox"/> From Date of Permission for Dual Employment } To: ○○/○○/20○○ <input checked="" type="checkbox"/> ○○/○○/20○○</p>
<p>Name of employee requesting dual employment: Saburo ○○ Job title: Professor Affiliation: Graduate School of Economics and Management</p>	<p>Remuneration¹: <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes 5 million yen per <u>1</u> (year(s) / month(s) / day(s) / time(s) / hour(s)) Other (_____)</p> <p>Transportation Costs: <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes</p>
<p>Position Title: Director Content of responsibilities: Right to represent the company <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes Administrative Responsibilities <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes Content of responsibilities Participating in the making of important decisions related to the conduct of the company's business, and the conduct of administrative work, mainly in relation to applications and commercialization of the research results in relation to △△△△. There is no involvement at all in the negotiation and conclusion of contracts between this company and the University.</p>	<p>Number of special shares of stock possessed by the applicant for dual employment / total number of shares of stock already granted by the company in question 100 shares / 1000 shares</p> <p>Details of any contractual relationships² involving the employing company within a two year period prior to the anticipated start date of dual employment with the employing company and Tohoku University <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes * Details are attached on a separate sheet</p>
<p>Contents of any government support etc for development of commercial application of the said research results by the company in question (Only enter in the case of dual employment as an officer of the company applying the research results.) <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes * Concrete details are attached on a separate sheet</p>	

¹ This includes not only realized capital gains such as money and marketable securities, but also gains in the form of stock options and financial guarantees by the company in question.

² Include whether or not the applicant participated in planning that led to the decision to approve the contract, and all other relevant details regardless of whether the applicant held decision making authority in that regard or not. Also include any involvement in joint research and commissioned research. Include results even if they did not give rise to any contractual effect, provided a contract was concluded within the period of the past two years.

(Attachments)

Details of any contractual relationships involving the employing company within a two year period prior to the anticipated start date of dual employment with the employing company and Tohoku University

Fiscal/Academic Year	Contract Details	Amount of the Contract
FY 20__		
FY 20__		
FY 20__		
Anticipated		
		Total

Contents of any government support etc for development of commercial application of the said research results by the company in question (Only enter in the case of dual employment as an officer of the company applying the research results.)

Financial support for the commercialization of this departments research results has been received from the Japan Science and Technology Agency. Support from the development of new business in expanding on the research and development results was obtained from a venture capital firm, who green-lighted the proposal for research and development of “△△△△” and funded it for a three year period from ○○/○○ for an amount of _____ million yen.

* This form may be changed to incorporate additional details as necessary.

I guarantee that all of the above information is true and correct.

____ / ____ / 20__

Corporate Name:

Seal

Representative's name:

Seal