Supplementary

## **Request Form for Pursuing Dual Employment as an Executive**

(Executive Engaged in Transfer of Technology Licensing Organization)

(Executive Eligageu III II	Tansfer of Technology Electising Organization)	
President of Tohoku University	Document Number: 00/00/2000	
I would like to ask for your consent to the request of the employee below to pursue dual employment. Corporate Name: □□□ Co., Ltd Stamp	Address of the company where the applicant will be dually employed (starting with the post code): $1 - 1 - 1 \Delta \Delta$ , $\infty$ -ku, $\infty$ -shi, $\infty$ -prefecture $\infty - \infty - \infty = 0$	
<b>Representatives name:</b> Ichiro oo Stamp	Affiliated department, supervisor, contact telephone number: $\circ\circ$ SectionJiro $\triangle \triangle$ $\circ\circ\circ - \circ \circ \circ$	
Website address: http://www.tohoku.ac.jp/japanese/index.html	Address of work location (starting with the post code):	
<b>Content of Business</b> The creation of new businesses and the development of new technologies, contributing to revitalization of industry and improvement of production technology through patenting technologies developed at Universities and other research institution based on transfer of technologies developed there, and licensing these technologies to companies. The profits we make from this are applied to further research at those same Universities and research institutes, creating an intellectual cycle of that give birth to new research results.	Frequency of Work: $\Box$ Everyday:: = _6times per year / month / week / other period = Other ( ) 1 time = _5hours	
Parent Company:       No       Image: Yes       Name of Company:         Location       Outline of the Contents of the Company's Business	Period of Dual Employment : □ From Date of Permission for Dual Employment       To: 00/00/2000         • 00/00/2000       • 00/00/2000	
Name of employee requesting dual employment: Saburo ooJob title: ProfessorAffiliation: Graduate School of Economics and Management	Remuneration¹:       □       No       ■       Yes       5 million       yen         per       _1       (year(s) / month(s) / day(s) / time(s) / hour(s))       Other (       )         Transportation Costs:       □       No       ■       Yes	
Position Title: Director	Number of special shares of stock possessed by the applicant for dual employment / total number	
Content of responsibilities:	of shares of stock already granted by the company in question	
Right to represent the company $\blacksquare$ No $\Box$ Yes	0 shares / 1000 shares	
Administrative Responsibilities No Yes Content of responsibilities Participating in the making of important decisions related to the conduct of the company's business, and the conduct of administrative work, mainly in relation to the transfer of technology developed at the university. There is no involvement at all in the negotiation and conclusion of contracts between this company and the University.	<ul> <li>Details of any contractual relationships<sup>2</sup>involving the employing company within a two year period prior to the anticipated start date of dual employment with the employing company and Tohoku University</li> <li>No</li> <li>Yes * Details are attached on a separate sheet</li> </ul>	
Contents of any government support etc for development of commercial application of the said research results by the company in question (Only enter in the case of dual employment as an officer of		
the company applying the research results.)		

 $\square$  No  $\square$  Yes \* Concrete details are attached on a separate sheet

<sup>&</sup>lt;sup>1</sup> This includes not only realized capital gains such as money and marketable securities, but also gains in the form of stock options and financial guarantees by the company in question.

 $<sup>^2</sup>$  Include whether or not the applicant participated in planning that led to the decision to approve the contract, and all other relevant details regardless of whether the applicant held decision making authority in that regard or not. Also include any involvement in joint research and commissioned research. Include results even if they did not give rise to any contractual effect, provided a contract was concluded within the period of the past two years.

## (Attachments)

Details of any contractual relationships involving the employing company within a two year period prior to the anticipated start date of dual employment with the employing company and Tohoku University

Fiscal/Academic	Contract Details	Amount of the Contract
Year		
FY 20		
FY 20		
FY 20		
Anticipated		
II		Total

Contents of any government support etc for development of commercial application of the said research results by the company in question (Only enter in the case of dual employment as an officer of the company applying the research results.)

I guarantee that all of the above information is true and correct.

\_\_\_\_ /\_\_\_/20\_\_\_\_

Corporate Name: Representative's name: \* This form may be changed to incorporate additional details as necessary.

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